



TFW 2624

FIRST CLASS CERTIFICATE OF MAILING

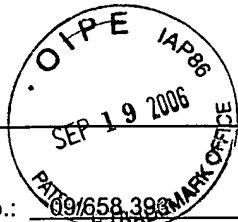
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Mail Stop *Amendment*  
Commissioner For Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*Judy L. Steinkraus*  
Judy L. Steinkraus

*09/15/2006*

Application No.: 09/658,393		Filing Date: 09/08/2000	Docket No.: 74451.P110
Date Mailed: 09/15/2006		Due Date: 09/15/2006	
Client: Ricoh Corporation		Atty/Sec: MJM WLJ jls	
Title: WAVELET-BASED IMAGE PROCESSING PATH			
First Named Inventor: Kathrin Berkner, et al.			
<u>The following has been received in the U.S.P.T.O. on the date stamped hereon:</u>			
<u>Transmittal Letters &amp; Certificate of Mailing</u>		<u>Petitions &amp; Appeals</u>	
<input checked="" type="checkbox"/> Transmittal Letter		<input type="checkbox"/> Petition for Extension of Time:	
Fee Transmittal (original & copy)		<input type="checkbox"/> Notice of Appeal	
RCE (Request for Continued Examination)		<input type="checkbox"/> Appeal Brief & two copies (____ pgs. each)	
<input type="checkbox"/> Transmittal of Formal Drawings		<input type="checkbox"/> Reply Brief (____ pgs.)	
<input type="checkbox"/> Issue Fee Transmittal (original & copy)		<u>Other</u>	
<input type="checkbox"/> Certificate of Mailing		Information Disclosure Statement & PTO/SB/08	
<input type="checkbox"/> Express Mail No.:		(____ pgs.) (previously 1449)	
<u>Missing Parts, Formal Papers</u>		<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Response to Notice of Missing Parts		<input type="checkbox"/> Request to Publish (Rescind NonPublication)	
Assignment & Cover sheet (____ pgs.)		<input type="checkbox"/> Drawings: ____ sheets, ____ figures	
<input type="checkbox"/> Declaration & POA (____ pgs.)		<input checked="" type="checkbox"/> Postcard	
<u>Amendment / Response</u>		<u>Checks</u>	
<input checked="" type="checkbox"/> Resubmitted Amendment/Response as filed 07/31/06 (15 p.)		Check No. _____ Amount \$0.00	
<input type="checkbox"/> Examiner's Interview Summary (____)		Check No. _____ Amount \$0.00	
<input type="checkbox"/> Other: _____			

**AMENDMENT TRANSMITTAL****PATENT**

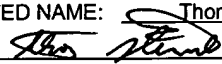
Application No.: 09/658,398  
Filing Date: 09/08/2006  
First Named Inventor: Kathrin Berkner, et al.  
Examiner's Name: Lee, Tommy D.  
Art Unit: 2624  
Attorney Docket No.: 74451.P110

- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
- ☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
- ☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
- ☐ Applicant(s) claim small entity status (37 CFR 1.27).

**ATTACHMENTS**

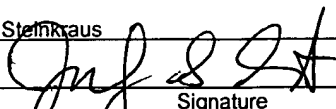
- ☐ Preliminary Amendment
- ☒ Resubmitted Amendment/Response originally filed on 07/31/2006
- ☐ Amendment/Response After Final Action (37 CFR 1.116)
- ☐ Notice of Appeal
- ☐ RCE (Request for Continued Examination)
- ☐ Supplemental Declaration
- ☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
- ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS citations
- ☐ Petition for Extension of Time
- ☐ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☒ Other: copy of Notice of Non-Compliant Amendment (2 pages)
- ☐ Other: \_\_\_\_\_
- ☐ Checks
- ☒ Postcard (Return Receipt)

**SUBMITTED BY:**

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP  
TYPED OR PRINTED NAME: Thomas S. Ferrill  
SIGNATURE:   
REG. NO.: 42,532  
DATE: 9-15-06  
ADDRESS: 12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
TELEPHONE NO.: (408) 720-8300

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)**

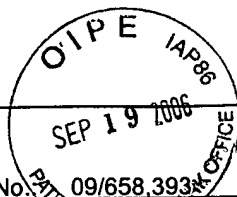
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Judy L. Steinkraus \_\_\_\_\_ Date of Deposit  
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Signature \_\_\_\_\_ Name of Person Mailing Correspondence 9/15/2006  
Date

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(10/14/03)

**AMENDMENT TRANSMITTAL****PATENT**

Application No. 09/658,393  
Filing Date: 09/08/2006  
First Named Inventor: Kathrin Berkner, et al.  
Examiner's Name: Lee, Tommy D.  
Art Unit: 2624  
Attorney Docket No.: 74451.P110

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- ☒ Other: copy of Notice of Non-Compliant Amendment (2 pages)
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- ☐ Checks
- ☒ Postcard (Return Receipt)

**SUBMITTED BY:**

BLAKELY SOKOLOFF TAYLOR &amp; ZAFMAN LLP

TYPED OR PRINTED NAME: Thomas S. FerrillSIGNATURE: [Signature]REG. NO.: 42,532DATE: 9-15-06ADDRESS: 12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025TELEPHONE NO.: (408) 720-8300**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)**

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Signature \_\_\_\_\_ Name of Person Mailing Correspondence \_\_\_\_\_  
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Date 9/15/2006

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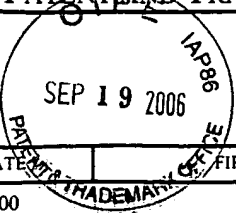
(10/14/03)



# UNITED STATES PATENT AND TRADEMARK OFFICE

74451.P110  
Ricoch - MJM

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Alexandria, Virginia 22313-1450  
www.uspto.gov



APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/658,393	09/08/2000	Kathrin Berkner	074451.P110 ✓	3421

7590 08/15/2006  
Michael J Mallie  
Blakely Sokoloff Taylor & Zafman LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

## RECEIVED

AUG 18 2006

EXAMINER

LEE, TOMMY D

ART UNIT PAPER NUMBER

2625

DATE MAILED: 08/15/2006

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
LOS ANGELES

Please find below and/or attached an Office communication concerning this application or proceeding.

Date 9/15/2006 Client: Ricoh Corporation

Docket Initials JP 74451.P110

Dock. Sup. Initials \_\_\_\_\_

Atty Initials \_\_\_\_\_ MJM WLJ

Pat/Ser/Reg 658393

200a x

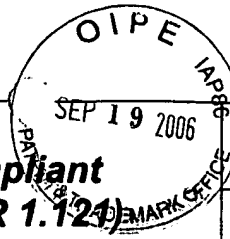
Description:

Response due, notice of non-compliant amendment

8/18/2006

John Pliskaner

585226



**Notice of Non-Compliant  
Amendment (37 CFR 1.121)**

Application No.

09/108,393

Applicant(s)

Examiner

Art Unit

**- The MAILING DATE of this communication appears on the cover sheet with the correspondence address -**

The amendment document filed on 8/31/06 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

**THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:**

- ☐ 1. Amendments to the specification:
  - ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_.
- ☐ 2. Abstract:
  - ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_.
- ☐ 3. Amendments to the drawings:
  - ☐ A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - ☐ C. Other \_\_\_\_\_.
- ☒ 4. Amendments to the claims:
  - ☐ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☒ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended) See 120
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_.
- ☐ 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): \_\_\_\_\_

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

**TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:**

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment, an amendment filed after allowance, or a drawing submission (only). If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action. If any of above boxes 1. to 4. are checked, the correction required is only the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121.

**Extensions of time** are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

**Failure to timely respond** to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or  
**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable

Telephone No.